

## G-32 財務金融學系學系 113 學年度入學博士班研究生畢業條件明細表

The detailed graduation requirements for doctoral students admitted to the Department of Finance and Financial Studies, Academic Year 113.

項 目 Item	備 註 Remarks
<p>一、修業年限：</p> <p>1. 最低修業年限：2 年</p> <p>2. 最高修業年限：7 年（不包括休學年限 2 年）</p> <p>Duration of Study:</p> <p>1. Minimum duration of study: 2 years</p> <p>2. Maximum duration of study: 7 years (excluding a maximum of 2 years for leave of absence)</p>	<p>在職生得延長修業年限一年</p> <p>Working students may extend the length of study by one year</p>
<p>二、應修最低畢業總學分數（不含體育及國防教育課程學分）</p> <p>一般生共 <b>39</b> 學分</p> <p>碩士直升博士生共 _____ 學分</p> <p>學士直升博士生共 _____ 學分</p> <p>包括下列兩項：</p> <p>1. 學 科（必、選修）：</p> <p>一般生：必修最低 <b>9</b> 學分、選修最低 <b>18</b> 學分</p> <p>※選修課可碩、博互選，博士班課程規劃內之選修課至少選修 4 門</p> <p>碩士直升博士生：必修最低 _____ 學分、選修最低 _____ 學分</p> <p>學士直升博士生：必修最低 _____ 學分、選修最低 _____ 學分</p> <p>2. 畢業論文：<b>12</b> 學分</p> <p>II. Minimum Total Credits Required for Graduation (excluding physical education and national defense education credits)</p> <p>For regular students: <b>39</b> credits</p> <p>For Master's-to-PhD students: _____ credits</p> <p>For Bachelor's-to-PhD students: _____ credits</p> <p>The credits include the following two categories:</p> <p>1. Courses in the major (required and elective):</p> <p>Regular students: Minimum <b>9</b> required credits, minimum <b>18</b> elective credits</p> <p>Elective courses can be chosen from Master's and PhD courses. At least 4 elective courses from the PhD program curriculum must be selected.)</p> <p>Master's-to-PhD students: Minimum _____ required credits, minimum _____ elective credits</p> <p>Bachelor's-to-PhD students: Minimum _____ required credits, minimum _____ elective credits</p> <p>2. Thesis: <b>12</b> credits</p>	<p>研究生學業及操行成績均以 70 分為及格。</p> <p>操行成績不及格者，予以退學。</p> <p>學業平均成績佔畢業成績 50%。</p> <p>The academic and conduct grades for graduate students are both considered passing with a score of 70.</p> <p>Students who fail the conduct grade will be dismissed.</p> <p>The academic average accounts for 50% of the graduation score.</p> <p>碩士直升博士生，其在碩士班已修科目最多採認 12 學分。</p> <p>學士直升博士生，最多抵免博士班畢業學分數之一半（不含畢業論文）。</p> <p>For Master's-to-PhD students, a maximum of 12 credits from the Master's program may be recognized.</p> <p>For Bachelor's-to-PhD students, up to half of the required PhD graduation credits (excluding the thesis) may be credited.</p> <p>※必修+選修+畢業論文=最低畢業總學分</p> <p>Required courses + elective courses + thesis = minimum total credits required for graduation</p>
<p>三、抵免學分：最高 <b>12</b> 學分</p> <p>III. Credit Transfer: Maximum of 12 credits</p>	<p>依本校抵免學分辦法，並應於入學當學期加退選課程截止日期前申請抵免。</p> <p>According to the university's credit transfer policy, students must apply for credit transfer before the course add/drop deadline in the semester of admission.</p>

<p>四、選修大學部相關課程計入研究所畢業學分 IV. Elective undergraduate courses may be counted towards the graduate program's graduation credits.</p>	<p>本校學生選課辦法規定：研究生每學期應修學科學分由指導教授或系、所、學位學程主管核定之。研究生因課業需要，除本系（所、學位學程）基本應修學分外，得經授課教師同意後，選修大學部相關課程，該課程如需計入畢業學分，須經指導教授及系、所、學位學程相關會議通過，但以六學分為限。</p> <p>The university's course selection regulations state that graduate students' required academic credits each semester must be approved by their advisor or the department, institute, or degree program director. If necessary for academic purposes, graduate students may, with the consent of the course instructor, select relevant undergraduate courses in addition to the required credits for their department (institute or degree program). If these courses are to be counted towards graduation credits, they must be approved by the advisor and relevant meetings of the department, institute, or degree program, with a maximum of six credits allowed.</p>								
<p>五、承認外系（所）學分：最多 <u>3</u> 學分（說明如右經會議通過後再修課） V. Recognition of Credits from Other Departments (Institutes): A maximum of 3 credits (Explanation: Courses may be taken after approval in a meeting).</p>	<p>含校際選課學分。 該課程需與博士論文相關，經指導教授同意後提送系務會議討論通過，方可列入畢業學分。</p> <p>Including inter-institutional course credits. The course must be related to the doctoral thesis and, with the consent of the advisor, must be submitted for discussion and approval by the departmental meeting before it can be counted towards graduation credits.</p>								
<p>六、必修科目及學分數：共 <u>9</u> 學分 VI. Required Courses and Credits: A total of 9 credits.</p> <table data-bbox="81 1010 874 1429"> <thead> <tr> <th>科目名稱 The course title</th><th>學分數 credits</th></tr> </thead> <tbody> <tr> <td>1. 管理理論 Management Theory</td><td>3</td></tr> <tr> <td>2. 高等研究方法 Advanced Research Methods.</td><td>3</td></tr> <tr> <td>3. 財務數學與衍生性金融商品 Financial Mathematics and Derivative Financial Instruments</td><td>3</td></tr> </tbody> </table>	科目名稱 The course title	學分數 credits	1. 管理理論 Management Theory	3	2. 高等研究方法 Advanced Research Methods.	3	3. 財務數學與衍生性金融商品 Financial Mathematics and Derivative Financial Instruments	3	<p>必修科目不及格應予重修， 必修科目未修滿不得畢業。 全部必修課程及格後方能提出口試申請。</p> <p>If a required course is failed, it must be retaken.</p> <p>Failure to complete all required courses will prevent graduation.</p> <p>Only after passing all required courses can the application for the oral exam be submitted.</p>
科目名稱 The course title	學分數 credits								
1. 管理理論 Management Theory	3								
2. 高等研究方法 Advanced Research Methods.	3								
3. 財務數學與衍生性金融商品 Financial Mathematics and Derivative Financial Instruments	3								
<p>七、系（所）指定應補修基礎科目（不計入畢業學分）：共 <u>0</u> 學分 Department (Institute) designated foundational courses to be completed (not counted towards graduation credits): 0 credits.</p>									
<p>八、博士班研究生考核： 博士班研究生於入學後第一學年結束前，應經系（所）主任之同意商請指導教授。</p> <p>Doctoral Student Evaluation: Before the end of the first academic year after admission, doctoral students must obtain the approval of the department (institute) chairperson to request a supervising professor.</p>	<p>未於規定期限內商請指導教授者，勒令休學一學期。</p> <p>Students who fail to request a supervising professor within the specified time frame will be required to take a one-semester leave of absence</p>								

<p>九、博士候選人資格考核：</p> <p>研究生修完最低修業年限並修滿規定應修科目及學分，且撰妥學位論文計劃大綱及基本內容，始得提出博士候選人資格考核，並經考核委員會三分之二以上之委員通過，始為合格。<u>另有詳細規定請參照本系博士班修業辦法第四條。</u></p> <p>Doctoral Candidacy Examination:</p> <p>Upon completing the minimum required years of study, fulfilling the required courses and credits, and preparing a draft of the dissertation proposal and its basic contents, the student may apply for the doctoral candidacy examination. The student must pass the examination with approval from at least two-thirds of the examination committee members to be considered qualified. For further details, please refer to Article 4 of the regulations for doctoral studies in this department.</p>	<p>博士候選人資格考核不合格者，不得提出論文考試，經重考一次仍不合格者，予以退學。</p> <p>Students who fail the doctoral candidacy examination will not be allowed to proceed to the dissertation defense. If they fail the re-examination, they will be dismissed from the program.</p>
<p>十、博士學位考試（論文考試）：</p> <p><u>1. 研究生須於申請論文考試前取得學術倫理教育修課證明，前項資格由各系（所、學位學程）認定。</u></p> <p><u>2. 通過博士候選人資格考核，並完成研究論文初稿者得於當學期完成註冊選課後，於預定舉行論文考試日期至少二十天前提出論文考試申請。論文考試成績以 70 分為及格。</u></p> <p><u>※博士生申請舉行論文考試前，應於國內外學術期刊發表或被接受論文一篇，詳細規定請參照本系博士班修業辦法第七、八、九條。</u></p> <p>10. Doctoral Degree Examination (Dissertation Defense):</p> <p>11. Before applying for the dissertation defense, students must obtain proof of completing academic ethics education. The eligibility for this will be determined by each department (institute, degree program).</p> <p>12. Students who have passed the doctoral candidacy examination and completed the first draft of their research paper may apply for the dissertation defense at least 20 days before the scheduled defense date, after registering for courses in the current semester. A passing grade for the dissertation defense is 70 points. *Before applying for the dissertation defense, doctoral students must have published or had a paper accepted by an academic journal, either domestic or international. For detailed regulations, please refer to Articles 7, 8, and 9 of the doctoral program guidelines of this department.</p>	<p>論文考試成績佔畢業成績 50%。</p> <p><u>研究生得透過臺灣學術倫理教育資源中心網站自我學習，並通過總測驗取得修課證明；各系（所、學位學程）另訂有應通過專業學術研究倫理教育研習課程者，則依各系（所、學位學程）另訂之規定實施。</u></p> <p>論文不及格而修業年限未屆滿者，得於次學年或次學期申請重考一次，重考仍不及格者，予以退學。重考及格者之成績，概以 70 分計算。</p> <p>The thesis exam score accounts for 50% of the graduation grade.</p> <p>Graduate students must complete self-study through the Taiwan Academic Ethics Education Resource Center website and pass the final test to obtain a course completion certificate. If a department (institute or degree program) has additional requirements for passing a professional academic research ethics education course, students must follow the respective department's regulations.</p> <p>If a thesis fails and the student has not reached the maximum study duration, the student may apply for a retake in the next academic year or semester. If the retake still results in a failing grade, the student will be dismissed. The grade for a passed retake will be calculated as 70 points.</p>
<p>十一、其 他：英語能力畢業標準：<u>本所未訂英語能力畢業標準</u></p> <p>十二、<u>未盡事宜，請參照本系博士班修業辦法</u> <a href="http://www.fin.nchu.edu.tw/05_studylaw/file/phd_studylaw.doc">http://www.fin.nchu.edu.tw/05_studylaw/file/phd_studylaw.doc</a></p> <p>Others:</p> <p>English proficiency graduation requirements: The department has not set specific English proficiency graduation standards.</p> <p>For matters not covered, please refer to the doctoral program regulations of this department: <a href="http://www.fin.nchu.edu.tw/05_studylaw/file/phd_studylaw.doc">http://www.fin.nchu.edu.tw/05_studylaw/file/phd_studylaw.doc</a></p>	<p>依「國立中興大學學生英文能力畢業標準檢定辦法」第 2 條規定，授權系所自訂研究生英語能力畢業標準。(98.3.26 第 57 次教務會議訂定)</p> <p>According to Article 2 of the "National Chung Hsing University Student English Proficiency Graduation Standard Regulations," departments are authorized to establish their own English proficiency graduation standards for graduate students. (Established at the 57th Academic Affairs Meeting on March 26, 2009).</p>

※必修科目及畢業學分數規定由系所依各學年課程規劃表填列；章程查詢網址：<http://www.oaa.nchu.edu.tw/rule01.htm>

※畢業條件異動請依畢業條件異動簡化程序建議表辦理。如無課程或學分異動，不須每學年提送。

※本表格修訂係依第 62、70、71 次教務會議紀錄。

系(所、學位學程)承辦人：

系所主管簽章：

113 年修訂

The requirements for required courses and graduation credits are specified by the department or institute according to the course planning table for each academic year. For the regulations, please refer to the following website:  
<http://www.oaa.nchu.edu.tw/rule01.htm>.

Any changes to the graduation requirements should be processed according to the simplified procedure for changes to graduation requirements. If there are no changes to courses or credits, it is not necessary to submit annually.

This form was revised based on the records of the 62nd, 70th, and 71st Academic Affairs meetings.

Department (Institute, Degree Program) Contact Person: \_\_\_\_\_

Department Head's Signature: \_\_\_\_\_

Revised in the 113th Year.

# 財務金融學系博士班畢業條件明細表(113 學年度起入學適用)

Detailed Graduation Requirements for the Doctoral Program in Finance and Financial Studies (Applicable to students admitted starting from the 113th academic year).

## 專業選修科目列表 List of Professional Elective Courses

科目名稱 Course Title	全或半 Full / Half Semester	學分 Credits
(1) 高等財務數學 Advanced Financial Mathematics	半 Half Semester	3
(2) 財務計量分析 Financial Econometrics	半 Half Semester	3
(3) 另類資產投資策略研究 Research on Alternative Asset Investment Strategies	半 Half Semester	3
(4) 實證財務研究 Empirical Finance Research	半 Half Semester	3
(5) 高等個體經濟學 Advanced Microeconomics	半 Half Semester	3
(6) 財務管理專題(一) Special Topics in Financial Management (I)	半 Half Semester	3
(7) 財務會計專題 Special Topics in Financial Accounting	半 Half Semester	3
(8) 行為財務學 Behavioral Finance	半 Half Semester	3
(9) 財務管理專題(二) Special Topics in Financial Management (II)	半 Half Semester	3
(10) 國際金融 International Finance	半 Half Semester	3
(11) 高等金融機構與市場 Advanced Financial Institutions and Markets.	半 Half Semester	3
(12) 財務計量專題(一) Topics in Financial Econometrics (I)	半 Half Semester	3
(13) 財務計量專題(二) Special Topics in Financial Econometrics (II)	半 Half Semester	3
(14) 財務工程專題(二) Special Topics in Financial Engineering (II)	半 Half Semester	3
(15) 財務風險管理專題 Topics in Financial Risk Management.	半 Half Semester	3
(16) 投資學專題(一) Topics in Investment (I)	半 Half Semester	3

科目名稱 Course Title	全或半 Full / Half Semester	學分 Credits
(17) 投資學專題(二) Topics in Investment (II)	半 Half Semester	3
(18) 獨立研究 Independent Research	半 Half Semester	3

### ◎備註：

1. 本系最低應選修 **18** 學分。
2. 選修課可碩、博互選，**博士班課程規劃內之選修課至少選修 4 門**可自行查詢碩士班選修課。
3. 以上選修科目來自課程規劃，可能未成班或停開。

### Note:

1. The minimum required credits for this department are 18 credits.
2. Elective courses can be selected from both Master's and PhD programs. At least 4 elective courses from the PhD program curriculum must be selected. Students can also check available elective courses in the Master's program.
3. The above elective courses are part of the curriculum plan and may not be offered if there are insufficient students or if the course is discontinued.